

# Exhibit 5: Bidder's Questionnaire

COUNTY OF MONO, DEPARTMENT OF PUBLIC WORKS

## **ACKNOWLEDGEMENTS**

*BRIDGEPORT MEMORIAL HALL 1<sup>ST</sup> FLOOR RESTROOM RENOVATION*

### **RECEIPT OF ADDENDA**

The County of Mono is advised that Bidder has received the following addenda for the Contract Documents, including plans, specifications, and special provisions for the above-referenced project:

Addendum Number:                      Issuance Date:                      —  
Subject Matter: \_\_\_\_\_

Addendum Number:                      Issuance Date:                      —  
Subject Matter: \_\_\_\_\_

Addendum Number:                      Issuance Date:                      —  
Subject Matter: \_\_\_\_\_

Addendum Number:                      Issuance Date:                      —  
Subject Matter: \_\_\_\_\_

If you did not receive any addenda for the above-referenced project, please initial here: \_\_\_\_\_

### **ACKNOWLEDGEMENT OF SITE VISIT(S)**

The County of Mono is advised that I have visited the project site as acknowledged by my initials below. In doing so, I have made myself aware of the conditions that exist and have prepared the attached proposal accordingly.

Bridgeport Memorial Hall:                      Yes \_\_\_\_\_                      No \_\_\_\_\_

Note: This acknowledgement constitutes a part of the proposal, and signature on the signature portion of the proposal constitutes signature on this questionnaire.

COUNTY OF MONO, DEPARTMENT OF PUBLIC WORKS

**DISCLOSURES AND CERTIFICATIONS*****BRIDGEPORT MEMORIAL HALL 1<sup>ST</sup> FLOOR RESTROOM RENOVATION*****QUESTIONNAIRE A**

In accordance with Public Contract Code Section 10162, the Bidder shall complete the following questionnaire:

Has the Bidder, or any officer or employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on or completing a federal, state, or local government project because of a violation of law or safety regulation?

Yes: \_\_\_\_\_ No: \_\_\_\_\_

If the answer is yes, please explain the circumstances in the space provided below and/or attach separate sheet(s) as necessary, with signature affixed.

**QUESTIONNAIRE B**

Under penalty of perjury, the Bidder shall complete the following questionnaire:

Within the past three years, has the Bidder, or any officer or employee of the Bidder who has a proprietary interest in the Bidder, ever been convicted by a court of competent jurisdiction of any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any federal or state antitrust law in connection with the bidding upon, award of, or performance of, any Public Works Contract, as defined in Section 1101, with any public entity as defined in Section 1100 of the California Public Contract Code, the Regents of the University of California or the Trustees of the California State University?

Yes: \_\_\_\_\_ No: \_\_\_\_\_

If the answer is yes, please explain the circumstances in the space provided below and/or attach separate sheet(s) as necessary, with signature affixed.

Note: These disclosures and certifications constitute a part of the proposal, and signature on the signature portion of the proposal constitutes signature on this questionnaire.

**WORKERS' COMPENSATION CERTIFICATION**

I do hereby certify that I am aware of the provisions of the California Labor Code which requires every employer to be insured against liability for Workers' Compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of work in this contract.

**NON-COLLUSION AFFIDAVIT**

In accordance with Title 23 United States Code Section 112 and Section 7106 of the California Public Contract Code, the Bidder declares that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the Bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the Bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the Bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the Bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company, association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

Note: This certification and affidavit constitute a part of the proposal, and signature on the signature portion of the proposal constitutes signature on this questionnaire. Bidders are cautioned that making a false certification may subject the certifier to criminal prosecution.

## **BIDDER'S QUALIFICATION STATEMENT**

### ***BRIDGEPORT MEMORIAL HALL 1<sup>ST</sup> FLOOR RESTROOM RENOVATION***

This Qualifications Statement will be used by Mono County to determine if a Bidder is qualified to do the work to be performed and therefore to find if the Bidder is a "responsible" bidder. The Qualifications Statement should be completed on behalf of the Bidder by an officer or other individual who is knowledgeable about the Bidder's past and current operations, policies, and practices. A response must be provided to each question. If a particular question does not apply, the response should state "not applicable" or "N/A". **Qualifications statements that contain missing or incomplete answers may render the proposal non-responsive.** The County reserves the right, however, to allow the bidder to submit additional information pertaining to its qualifications after the bid-submission deadline if circumstances warrant and to waive any error or defect in a Bidder's Statement.

Answers may be expanded upon by attaching additional pages. Use 8½" x 11" paper and mark each additional page with the Bidder's name and identification of the particular question to which an answer is being given. For the purposes of this Statement, the terms "company," "firm," "bidder," "proposer," and "contractor" are used interchangeably and have the same meaning.

The following documents or information must be included with your Qualifications Statement for this Bid Proposal (Existing certification and license information on file with the County and current may meet the requirements of this section subject to verification prior to award of any contract):

Insurance: Contractor must provide proof that the firm is insured at least to the limits identified in the Sample Agreement.

Licenses: Copies of all applicable and current trade licenses issued to the Contractor which legally allow the Contractor to perform the work identified for this Project.

Previous Work History: This Statement includes a form titled "Experience on Completed or Ongoing Projects." Please use this form to detail the work that the firm has performed within the last three years. A minimum of three successfully-completed highway and/or roadway rehabilitation construction projects are required. Use one page per project and reproduce copies of the form as necessary. In each project description, identify your firm as a prime contractor, subcontractor, or joint venture partner.

OSHA Violations: If at any time within the past five years the Contractor has received an OSHA serious violation, you must provide copies of the *Citation and Notification of Penalty*, signed *Settlement Agreement*, and narrative which details the specific issue(s) cited, remedial action required and taken by the Contractor, amount of fine initially imposed, and ultimate resolution.

Resumes and Organizational Chart: The Contractor must include current resumes for each Principal and key individual identified in Question 2B below. The statement must also include a copy of the firm's current Organizational Chart.

Equipment: The Contractor must provide a list of equipment that would be available for the work.

Note: This Statement constitutes a part of the proposal, and signature on the signature portion of the proposal constitutes signature on this Statement.

# 1. GENERAL INFORMATION:

A. Type of organization: \_\_\_\_\_

If Corporation, include year and state incorporated

If Partnership, state whether general or limited

If Sole Proprietorship, include name of owner

If Joint Venture\*, include name all partnering firms

(\*Bidder's submitting a bid as joint venture must obtain a joint venture contractor's license before they may be awarded a contract, per Business and Professions Code §7029.1).

B. Is the firm certified as a Minority Business Enterprise (MBE) or Women Business Enterprise (WBE)?

\_\_\_\_\_ Yes (attach certification letter) \_\_\_\_\_ No

# 2. PERSONNEL:

A. Identify the current number of employees below:

Employee Type	Full-Time	Part-Time
Office		
Field		

B. Principals and Key Personnel: On the chart below, supply the required information. Principals and Key Personnel include proprietors, partners, directors or officers of the firm; any manager or individual who participates in overall policy-making or financial decisions of the firm; any person who makes significant financial contributions to the firm's operations; any person in a position to control and direct the firm's overall operations or any significant part of its operation (including site foremen and superintendents). Resumes for Principals and Key Personnel must be provided herewith. Use additional sheets if necessary to identify all Principals and Key Personnel.

Description	Person 1	Person 2	Person 3
Name			
Title			
% Ownership			

(Use additional sheets if necessary to identify all Principals and Key Personnel)

**3. FINANCIAL INFORMATION:**

- A. Are there any liens outstanding against the Contractor?  
(if yes, provide a detailed explanation on an attached sheet) ☐ Yes ☐ No
- B. Has the Contractor, Principals or Key Personnel been party to a  
bankruptcy or reorganization proceeding with the last five years?  
(if yes, provide a detailed explanation on an attached sheet) ☐ Yes ☐ No
- C. Annual sales dollar volume of Contractor: \$ \_\_\_\_\_

**4. INTEGRITY OF CONTRACTOR:** Please provide an explanation on an attached sheet for any of the following questions with the answer "yes".

- A. During the past five years has the Contractor:
- i. Been subject of a lien or claim of \$25,000 or more by a subcontractor or supplier? ☐ Yes ☐ No
  - ii. Failed to complete a contract? ☐ Yes ☐ No
  - iii. Been suspended, debarred, disqualified or otherwise declared ineligible to bid? ☐ Yes ☐ No
  - iv. Been defaulted on any contract? ☐ Yes ☐ No
  - v. Had a contract terminated? ☐ Yes ☐ No
  - vi. Had liquidated damages assessed against it upon completion of a contract? ☐ Yes ☐ No
  - vii. Been a plaintiff or defendant in any lawsuits arising out of public or private construction contracts? ☐ Yes ☐ No
- B. During the past five years has the Contractor, Principals or Key Personnel:
- i. Been a plaintiff or defendant in any lawsuits arising out of public or private construction contracts? ☐ Yes ☐ No
  - ii. Been the subject of an investigation involving any alleged violation of criminal law, civil antitrust law or other federal, state, or local civil law? ☐ Yes ☐ No
  - iii. Been convicted after trial or by plea of any felony under state or federal law? ☐ Yes ☐ No
  - iv. Entered a plea of nolo contendere to a charge of embezzlement, theft, forgery, bribery, falsification or destruction of records, receiving stolen property or violation of an antitrust law? ☐ Yes ☐ No
  - v. Been the subject of an investigation of any alleged violation of federal, state, or local regulations by any public agency? ☐ Yes ☐ No

- vi. Been found to have committed a violation of any labor law or regulation including prevailing wage rates and fair labor practices? ☐ Yes ☐ No
- vii. Been found to have committed an OSHA “serious violation”? ☐ Yes ☐ No
- viii. Been found to have committed a construction-related violation of federal, state, or local environmental law or regulation? ☐ Yes ☐ No

**5. BIDDING CAPABILITY AND PREVIOUS EXPERIENCE:**

A. Provide a detailed narrative of the Contractor’s experience and involvements in highway and/or roadway rehabilitation construction projects. Previous experience in this field of construction is necessary for the contractor to be found responsible specific to this Project. Additional information can be provided on an attached sheet.

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☐ mark if continued on attached sheet

B. Identify Contractor Specialty capabilities (check all appropriate). Bidder must have self-performing capability for each specialty selected by the Bidder.

- |   |  |
|---|--|
| <input type="checkbox"/> 1. Sitework                      | <input type="checkbox"/> 16. Plumbing                  |
| <input type="checkbox"/> 2. Concrete                      | <input type="checkbox"/> 17. HVAC                      |
| <input type="checkbox"/> 3. Masonry                       | <input type="checkbox"/> 18. Sprinkler                 |
| <input type="checkbox"/> 4. Metals                        | <input type="checkbox"/> 19. ATC                       |
| <input type="checkbox"/> 5. Carpentry                     | <input type="checkbox"/> 20. Balancing                 |
| <input type="checkbox"/> 6. Thermal & Moisture Protection | <input type="checkbox"/> 21. Fire Alarms               |
| <input type="checkbox"/> 7. Doors & Windows               | <input type="checkbox"/> 22. Security                  |
| <input type="checkbox"/> 8. Finishes                      | <input type="checkbox"/> 23. Pre-fabricated Equipment  |
| <input type="checkbox"/> 9. Specialties                   | <input type="checkbox"/> 24. Qualified SWPPP Developer |
| <input type="checkbox"/> 10. Equipment                    | <input type="checkbox"/> 25. Qualified SWPPP Provider  |
| <input type="checkbox"/> 11. Furnishings                  |  |
| <input type="checkbox"/> 12. Special Construction         |  |
| <input type="checkbox"/> 13. Conveying Systems            |  |
| <input type="checkbox"/> 14. Mechanical                   |  |
| <input type="checkbox"/> 15. Electrical                   |  |



C. Contract Capability (determined by size of previous work and bonding capacity):

- ☐ 1. \$0 - \$10,000
- ☐ 2. \$0 - \$50,000
- ☐ 3. \$0 - \$100,000
- ☐ 4. \$0 - \$250,000
- ☐ 5. \$0 - \$500,000
- ☐ 6. \$0 - \$1,000,000
- ☐ 7. \$0 - \$5,000,000
- ☐ 8. \$0 - \$10,000,000
- ☐ 9. \$0 - >\$10,000,000

D. Use the following forms (i.e. pages BD-17 – BD-19) to describe bidder's experience on completed or ongoing projects over the last five years (a separate sheet must be completed for each project – three minimum).

## PROJECT EXPERIENCE WITH BUILDING RENOVATION

## CONSTRUCTION PROJECTS

Project Status:

- ☐ Project completed  
☐ Work in progress

Contractor's Role\*:

- ☐ Prime Contractor  
☐ Subcontractor  
☐ Joint Venture Partner

\* Entity submitting proposal is considered "Contractor"

Facility / Project Name: \_\_\_\_\_

Address of Project: \_\_\_\_\_

Project Owner: \_\_\_\_\_

Contract Amount (Contractor's Share): \$\_\_\_\_\_ Was project bonded? ☐ Yes ☐ No

% of total project performed by Contractor by Contractor's own forces: \_\_\_\_\_%

Was Contractor required to possess a Performance Bond and/or Payment Bond? ☐ Yes ☐ No

Start Date:\_\_\_\_\_ Scheduled Completion Date:\_\_\_\_\_ Actual Completion Date:\_\_\_\_\_

Construction Manager / Project Manager:

Company: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_ email: \_\_\_\_\_

Contact Name: \_\_\_\_\_ Title: \_\_\_\_\_

Architect / Engineer:

Company: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_ email: \_\_\_\_\_

Contact Name: \_\_\_\_\_ Title: \_\_\_\_\_

Reference familiar with Contractor's performance:

Company: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_ email: \_\_\_\_\_

Contact Name: \_\_\_\_\_ Title: \_\_\_\_\_

Description of work performed by Contractor: \_\_\_\_\_

## PROJECT EXPERIENCE WITH BUILDING RENOVATION

## CONSTRUCTION PROJECTS

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☐ Work in progress

Contractor's Role\*:

- ☐ Prime Contractor  
☐ Subcontractor  
☐ Joint Venture Partner

\* Entity submitting proposal is considered "Contractor"

Facility / Project Name: \_\_\_\_\_

Address of Project: \_\_\_\_\_

Project Owner: \_\_\_\_\_

Contract Amount (Contractor's Share): \$\_\_\_\_\_ Was project bonded? ☐ Yes ☐ No

% of total project performed by Contractor by Contractor's own forces: \_\_\_\_\_%

Was Contractor required to possess a Performance Bond and/or Payment Bond? ☐ Yes ☐ No

Start Date:\_\_\_\_ Scheduled Completion Date:\_\_\_\_\_ Actual Completion Date:\_\_\_\_

Construction Manager / Project Manager:

Company: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_ email: \_\_\_\_\_

Contact Name: \_\_\_\_\_ Title: \_\_\_\_\_

Architect / Engineer:

Company: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_ email: \_\_\_\_\_

Contact Name: \_\_\_\_\_ Title: \_\_\_\_\_

Reference familiar with Contractor's performance:

Company: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_ email: \_\_\_\_\_

Contact Name: \_\_\_\_\_ Title: \_\_\_\_\_

Description of work performed by Contractor: \_\_\_\_\_

## PROJECT EXPERIENCE WITH BUILDING RENOVATION

## CONSTRUCTION PROJECTS

Project Status:

- ☐ Project completed  
☐ Work in progress

Contractor's Role\*:

- ☐ Prime Contractor  
☐ Subcontractor  
☐ Joint Venture Partner

\* Entity submitting proposal is considered

"Contractor"

Facility / Project Name: \_\_\_\_\_

Address of Project: \_\_\_\_\_

Project Owner: \_\_\_\_\_

Contract Amount (Contractor's Share): \$\_\_\_\_\_ Was project bonded? ☐ Yes ☐ No

% of total project performed by Contractor by Contractor's own forces: \_\_\_\_\_%

Was Contractor required to possess a Performance Bond and/or Payment Bond? ☐ Yes ☐ No

Start Date:\_\_\_\_ Scheduled Completion Date:\_\_\_\_\_ Actual Completion Date:\_\_\_\_

Construction Manager / Project Manager:

Company: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_ email: \_\_\_\_\_

Contact Name: \_\_\_\_\_ Title: \_\_\_\_\_

Architect / Engineer:

Company: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_ email: \_\_\_\_\_

Contact Name: \_\_\_\_\_ Title: \_\_\_\_\_

Reference familiar with Contractor's performance:

Company: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_ email: \_\_\_\_\_

Contact Name: \_\_\_\_\_ Title: \_\_\_\_\_

Description of work performed by Contractor: \_\_\_\_\_